Supercharge Your Practice

ADVISOR EMPOWERMENT

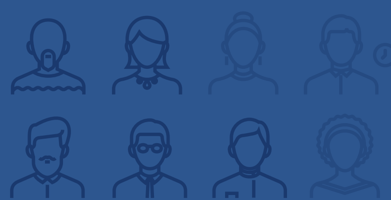
Did You Know?

According to a J.D. Power 2023 U.S. Financial Advisor Satisfaction Study[1]

28%

OF FINANCIAL ADVISORS

don't have enough time to spend with clients.



SPENDING

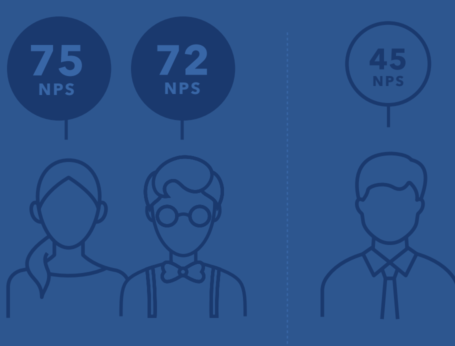
41%

more time than their peers on non-value-added tasks.

RESULTING IN A NPS

27 ↓

points lower than their peers.

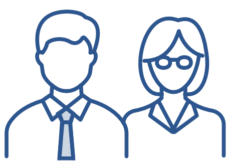


[1] Jdpower.com

Serving as a trusted partner.

Our team has developed a process that optimizes financial advisors' delivery of professional money management services to your clients.

We call it the Strategically Aligned Asset Manager, aka SAAM™ Model



The Financial Advisor

CHIEF WEALTH OFFICER

Synergy Asset Management

CHIEF INVESTMENT OFFICER

MONEY MANAGER

TECHNOLOGY FACILITATOR

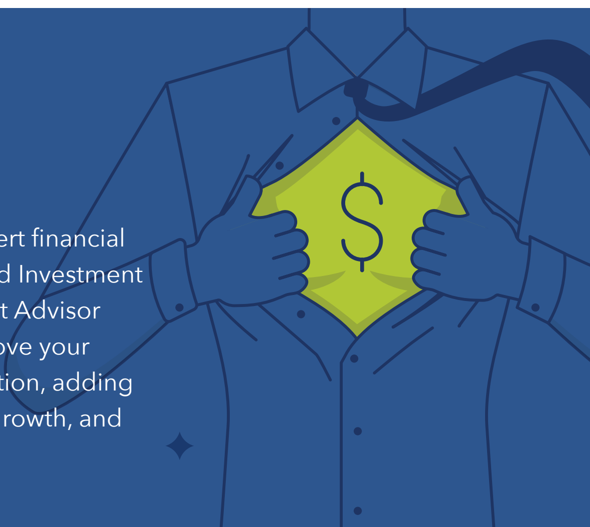
THE SAAM™ MODEL CONSISTS OF TWO ESSENTIAL ROLES

You act as Chief Wealth Officer and our team takes on the roles of Chief Investment Officer, Money Manager, and Technology Facilitator. This partnership provides you with extensive resources, giving you more time to spend with your clients and a competitive advantage in the marketplace.

The Power of SAAM™

The SAAM™ Model is designed to help financial advisors discover, design, and deploy your unique financial planning skills into a business structure that maximizes your success. Our approach empowers you to **stay in control**, **minimizes fees**, and **improves the way you do business**, thereby providing a sustainable competitive advantage for you and proficient and effective portfolios for your clients.

Supercharge your practice



We provide a full range of expert financial services for selected Registered Investment Advisors (RIAs), and Investment Advisor Representatives (IARs) to improve your business by increasing production, adding value for clients, accelerating growth, and generating more income.

LET US HELP YOU STAY FOCUSED ON:

1. **Acquiring new business**
2. **Serving your current clients**
3. **Reducing the burden of back-office investment tasks**

Choose Your Level of Support

We know one size does not fit all. Our SAAM™ program offers **tailored solutions** for your professional money management and business support needs.

Whether you're an independent advisor or an IAR with an established RIA, we provide professional money management, marketing assistance, practice management consultation, and technology solutions to ensure your success.

Let Us Do the Heavy Lifting

We provide a complete array of options for RIAs and IARs who wish to enhance their professional success with the power of SAAM™.

1. Professional Money Management

Synergy Asset Management uses a dynamic philosophy for professional active money management. Our global strategy includes fundamental, technical, economic, and factor analyses. Our bottom-up methodology for portfolio construction and security selection ensures a rigorous focus on investment opportunities.

---

2. Business Development Support

Navigating the dual challenges of attracting new clients and keeping existing ones requires a strategic approach. We've designed a five-step marketing curriculum aimed at addressing the needs of advisors. Our comprehensive system not only positions you as an authority in your field but also equips you with a suite of sophisticated resources.

Synergy Asset Management LLC ("Company") is an SEC-registered investment adviser located in Bellevue, Washington. Registration does not imply a certain level of skill or training. The Company may only transact business in those states in which it is notice-filed or qualifies for an exemption or exclusion from notice-filing requirements. For information pertaining to the registration status of Company, please contact the SEC or the state securities regulators for those states in which Company maintains a notice-filing. A copy of Company's current written disclosure statement discussing Company's business operations, services, fees, and material conflicts of interest is available from Company free of charge upon written request.

Not all outsourced services will be necessary or appropriate for all advisors, and the potential value and benefit to outsourcing investment management services will vary based on a variety of factors, such as the advisor's personnel resources, investment knowledge and expertise, client servicing needs, and overall cost and revenue structure. Please remember that different types of investments involve varying degrees of risk, and there can be no assurance that the future performance of any specific investment or investment strategy (including those undertaken, recommended, or made available by Company), will be profitable or equal any historical performance level(s). The content included in this material is for informational purposes only. Company will not be held responsible for any detrimental reliance you place on this information. Company is not a law firm and no portion of Company's services should be construed as legal services.

3. Practice Protection Planning

We offer expert coaching, advanced tools, and established strategies for your success. Our holistic approach aims to elevate your professional milestones. Our 3-step Practice Protection Planning Process ensures seamless transition while maintaining the highest client care.

4. Enhancing Technology

Synergy provides advanced technology solutions to keep financial firms competitive. Our services include billing, trading, reporting, data portals, financial planning software, and custom development. We empower advisors with the latest technology and unlock growth opportunities. Trust us to keep you ahead of the curve.

5. OCIO Services

Synergy Asset Management, LLC's Outsourced Chief Investment Officer (OCIO) services offer a multitude of advantages to financial advisors and your clients. Most significant is the more efficient use of time. By outsourcing investment management to an OCIO provider, advisors can free up valuable hours to dedicte to clients and prospects.

6. Business Owner Specialty

Synergy Asset Management, LLC can help enhance your financial advisory team's capabilities. We provide critical services in business valuations, succession planning, and business transactions. Our team can help you better understand your clients' financial landscape and provide tailored guidance.

The Synergy Advantage

Empowering you to stay in control

With Synergy, the blending of our combined services is seamless. By outsourcing tasks that slow you down, you remain in complete control as the primary relationship with your clients.

Wall Street expertise, Main Street accessibility

Synergy serves as your company's chief investment officer, providing expertise similar to big Wall Street portfolio managers while remaining accessible to assist you.

Synergy's client-centered approach

At Synergy, our mission is to deliver exceptional financial services to RIAs and their clients. We're your strategic partner in every aspect of investment and business consulting, dedicated to delivering exceptional results.

Synergy's winning system

We developed a system that generates success, is duplicable, and controllable. Our process allows us to build robust, purposeful portfolios by uniquely blending Quantitative Methods, Fundamental Analysis, and Dynamic Modern Portfolio Theory.

Tap into the power of SAAM™

Call us to schedule a complimentary meeting.

206.386.5455 | synergyassetmanagement.com